

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Antonino CATTANEO, et al.

Application No./Patent No.: 10/583,618

Filed/Issue Date: June 20, 2008

Title: METHOD FOR THE HUMANIZATION OF ANTIBODIES AND HUMANIZED ANTIBODIES THEREBY OBTAINED

Scuola Internazionale Superiore di Studi Avanzati, a nonprofit organization

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
 2. ☒ an assignee of less than the entire right, title, and interest in
 (The extent (by percentage) of its ownership interest is (1/6)x100 %); or
 3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023215, Frame 0576, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

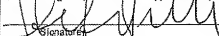
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s)

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Professor Stefano Fantoni

Printed or Typed Name

20 JUL 2008

Date

IL DIRETTORE

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to be filed by the USPTO in process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Antonio CATTANEO, et al.
 Application No./Patent No.: 10/583,518 Filing Date: June 20, 2008

Titled: METHOD FOR THE HUMANIZATION OF ANTIBODIES AND HUMANIZED ANTIBODIES THEREBY OBTAINED

Lay Line Genomics S.p.A. a corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
 states that it is:

- 1 ☐ the assignee of the entire right, title, and interest in;
 2 ☒ an assignee of less than the entire right, title, and interest in
 (The extent (by percentage) of its ownership interest is (5/6)x100 %); or
 3 ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
 the patent application/patent identified above, by virtue of either

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel: 023215 Frame: 0576, or for which a copy therefore is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1 From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel: _____ Frame: _____, or for which a copy thereof is attached.

2 From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel: _____ Frame: _____, or for which a copy thereof is attached.

3 From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel: _____ Frame: _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Ennio Esposito

Printed or Typed Name

28 SEP 2009

Date

Legal Representative

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will be expended upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1455, Alexandria, VA 22312-1450. DO NOT SEND PREPARED OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1455, Alexandria, VA 22312-1450.

If you request confidentiality in completing this form, call 1-800-PTO-6189 and select option 2.